



MICS  
Mobile Information and  
Communication Systems



ÉCOLE POLYTECHNIQUE  
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# Cross – Layer Design of Wireless MAC Protocol for Ad-Hoc Networks

## With Application to Low Power UWB

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joint work with  
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# Introduction

- Goal: design MAC and routing protocol for given network technology.

**Q1: Which performance objective to use ?**

**Q2: Which building blocks for MAC layer ?**

# Rate Performance Objectives

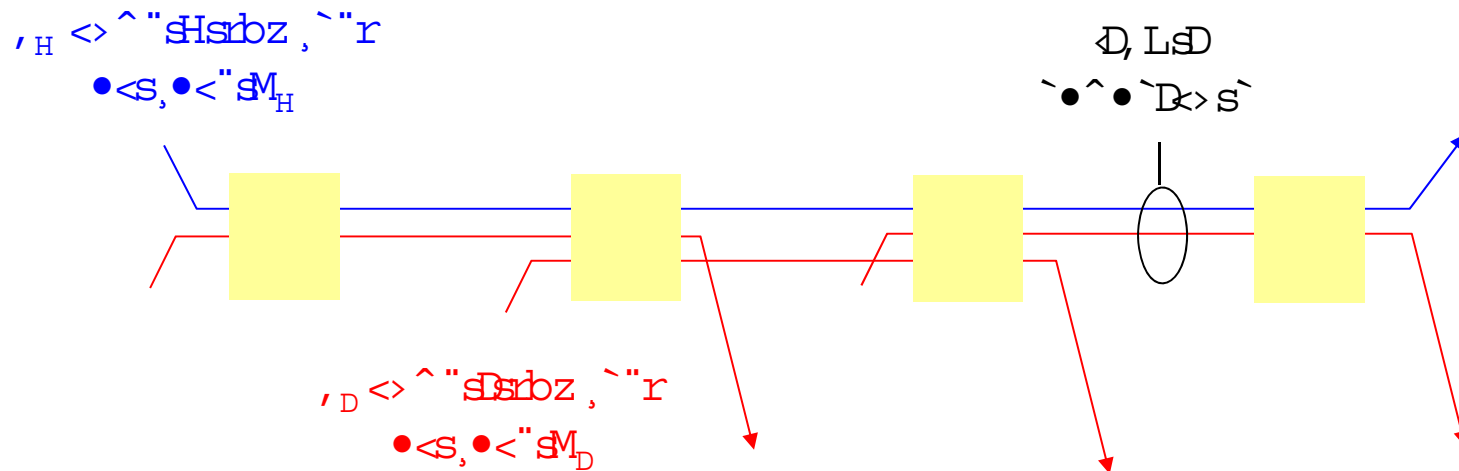
- ❑ Performance objectives in multi-hop wireless networks:
  - Rate based objectives (802.11, UWB, CDMA)
  - Energy based objectives (sensor networks)
  - Combined
  
- ❑ We focus on rate-based objectives

# Commonly Used Rate-based Performance Objectives

- ❑ **Total capacity**: maximize sum of rates of all flows.  
*Commonly used everywhere*
- ❑ **Max-min fairness**: a rate of a flow cannot be increased at the expense of a flow with an already smaller rate.  
*Commonly used in networking community*
- ❑ **Proportional fairness**: maximize sum of logs of rates of all flows.  
*Based on human perception (Fechner's law)*  
*Close to TCP fairness*
- ❑ **Transport rate** of a flow = rate \* distance  
All above metrics applicable to transport capacities  
*Gupta and Kumar*

# Efficiency versus Fairness

- It is known from networking textbooks that maximizing **capacity** may be grossly unfair

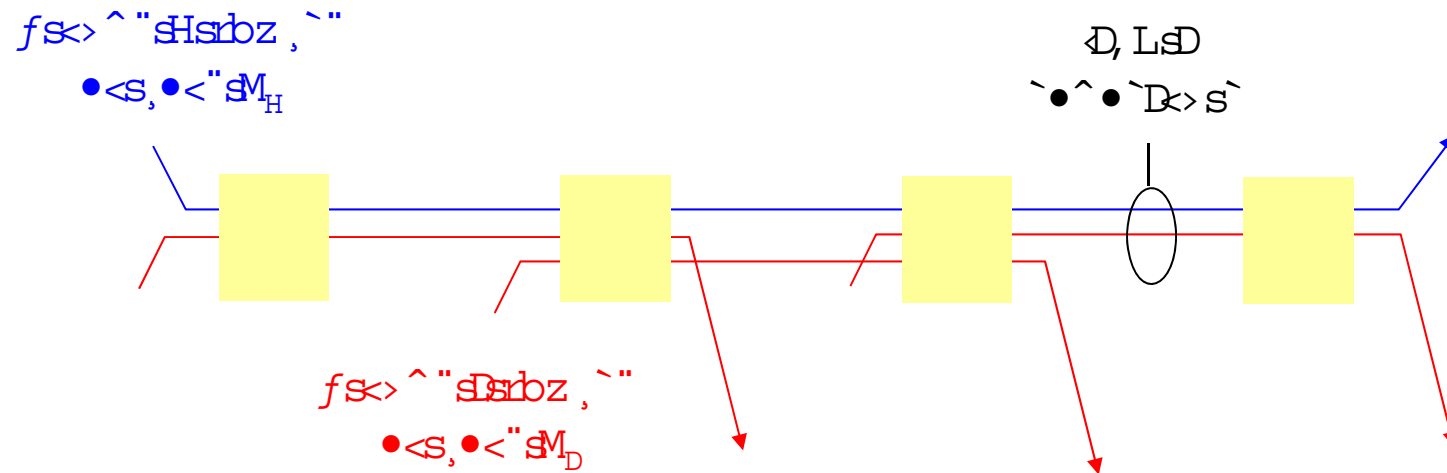


- max capacity is for  $x_0 = 0$
- In contrast, **max-min fair** allocation is considered « fairest »
  - max-min fair allocation

$$x_0 = \min\left\{\frac{c}{n_0 + n_i}\right\} \quad x_i = \frac{c - n_0 x_0}{n_i}$$

# Proportional Fairness

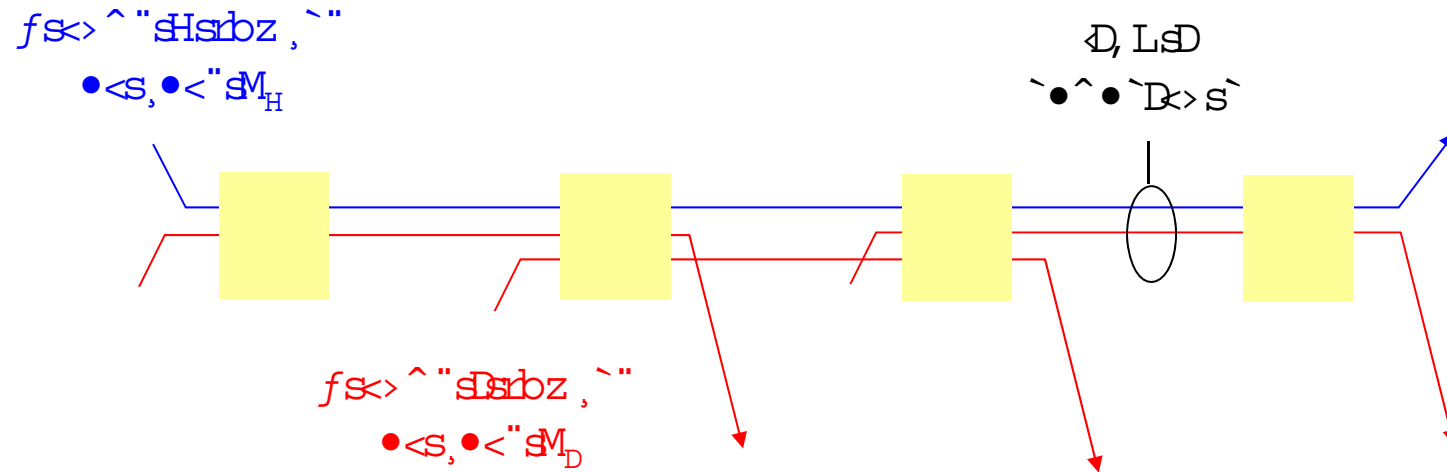
- A middle ground that gives less to the rich and the dispendious
  - maximize sum of logs of rates



<i>Type</i>	<i>Capacity</i>	<i>Max-Min Fairness</i>	<i>Prop. Fairness</i>
0	0	$c / 2$	$c / 3$
i	c	$c / 2$	$2 c / 3$

# Transport Rates

- Use of transport rates instead of rates accounts for expense



Type	Capacity	Transport Capacity	Max-Min	Transport Max-Min	Prop. Fairness
0	0	$c - x$	$c / 2$	$c / 4$	$c / 3$
i	c	x	$c / 2$	$3 c / 4$	$2 c / 3$

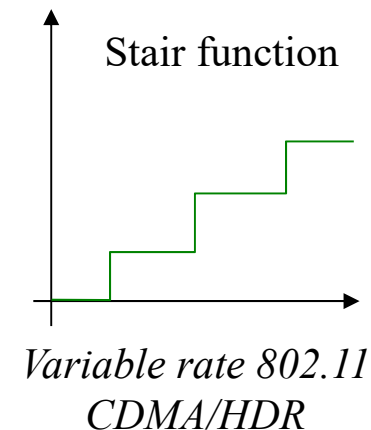
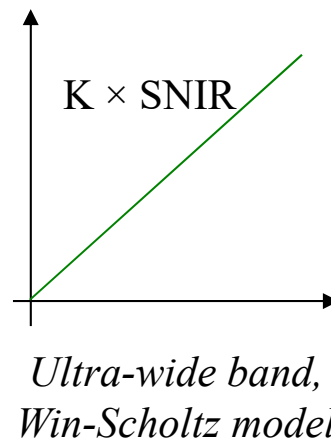
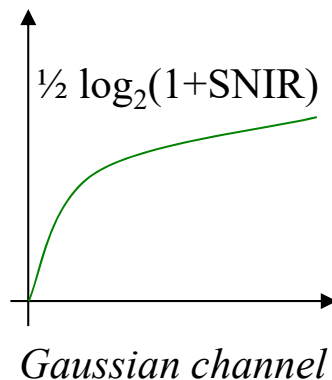
# Revisiting Fairness / Capacity for Ad-Hoc Wireless

- ❑ We compute the allocations for a wireless ad-hoc network
- ❑ The model is more complicated than for wired networks



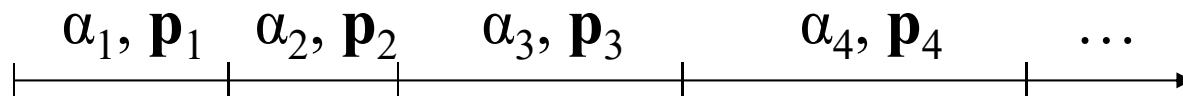
# Modelling the Physical Layer

- ❑ Assume a static but random placement of nodes
- ❑ Point-to-point links: no broadcast, relay channels or multi-user detection
- ❑ Channel state  $s$  is random, according to some stationary process, constant during packet transmission
- ❑ Positive attenuation  $h_{ij}(s)$  between any two points  $i, j$
- ❑ Interference allowed, no collisions.
- ❑ Signal-to-noise and interference ratio at the receiver of a link : ratio of received power over white noise plus interference of other transmitters.
- ❑ Rate  $r(\text{SNIR})$  is strictly increasing function.



# Modelling the MAC Protocol

- ❑ **Schedule** consists of several slots, each of length  $\alpha_n$ . In each slot, nodes have different power allocations  $\mathbf{p}_n$ .



- ❑ In each slot, a link achieves rate  $x_n$  as a function of SNIR and corresponding coding.
- ❑ Long term average rate is average rate over all slots

$$\bar{x} = \sum_n \alpha_n x_n$$

- ❑ We assume ideal control plane – no protocol overhead

# Routing Protocol and Traffic Flows

- ❑ Traffic demand is described by end-to-end **flows**.
- ❑ Each flow is unicast or multicast.
- ❑ Each flow is mapped to one **path** (single-path routing) or more paths (multi-path routing)
- ❑ Constraints on average rates:

$$\mathbf{f} = \mathbf{F}\mathbf{y}, \mathbf{x} \geq \mathbf{R}\mathbf{y}$$

$\mathbf{x}$  = vector of rates on links

$\mathbf{y}$  = vector of rates on paths

$\mathbf{f}$  = vector of flows

$F_{f,p} = 1$  if path  $p$  belongs to flow  $f$ , else 0

$R_{p,l} = 1$  if path  $p$  uses link  $l$ , else 0

# Power Constraint

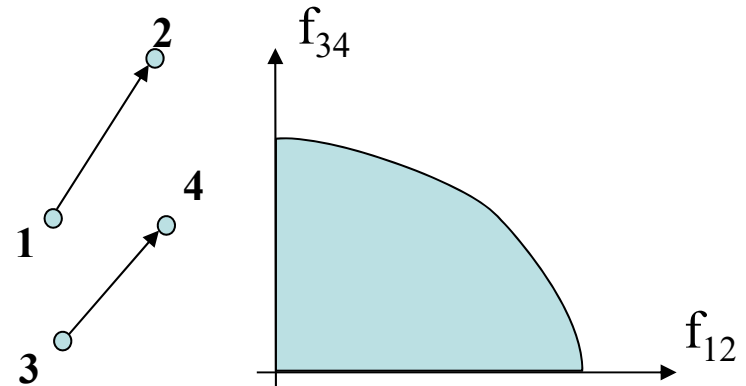
- ❑ **Peak power constraint:** maximum power of a symbol in a codebook. Integrated in model through rate function.
- ❑ **Transmission power constraint  $P^{\text{MAX}}$ :** average power of transmission in given slot. Corresponds to average power of codebook used.
- ❑ **Long term average transmission power constraint  $P^{\text{MAX}}_{\text{avg}}$ :** average power dissipated over the schedule. It corresponds to battery lifetime:

$$T_{\text{lifetime}} \geq E_{\text{battery}} / (P^{\text{MAX}}_{\text{avg}} \times u)$$

$u$  - fraction of time node has data to send

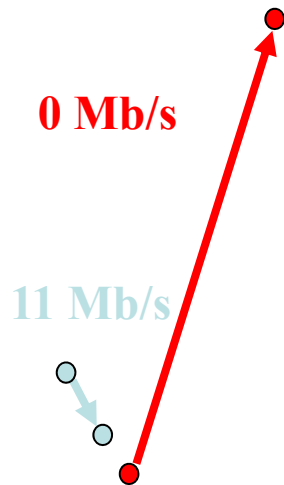
# Allocation is solved as an optimization problem

- ❑ **Constraints: flow, power**
- ❑ **Given network topology and traffic matrix, we have set of feasible rates and set of feasible transport rates.**
  - Set of feasible rates is convex but only implicitly defined
  - problem with all variables is non convex
- ❑ **Maximization problem**
  - $\sum f$  (capacity),  
 $\sum f \leq \text{length of link (transport capacity)}$
  - iterative maximization (water filling):  
max-min fairness
  - $\sum \ln f$  (prop fairness)

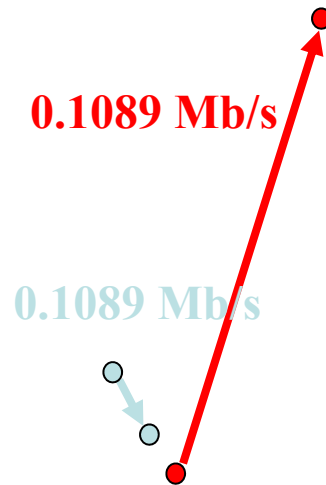


# What we find

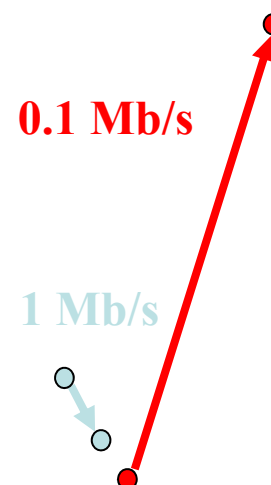
□ Numerical solution on random networks :



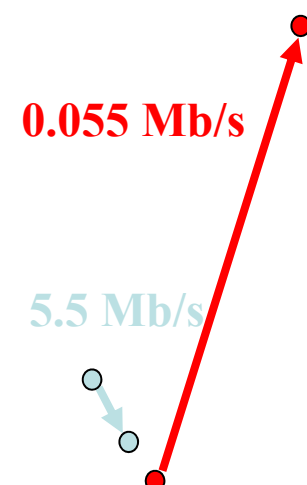
*Maximize total  
capacity  
or transport  
capacity*



*Max-  
min  
fairness*

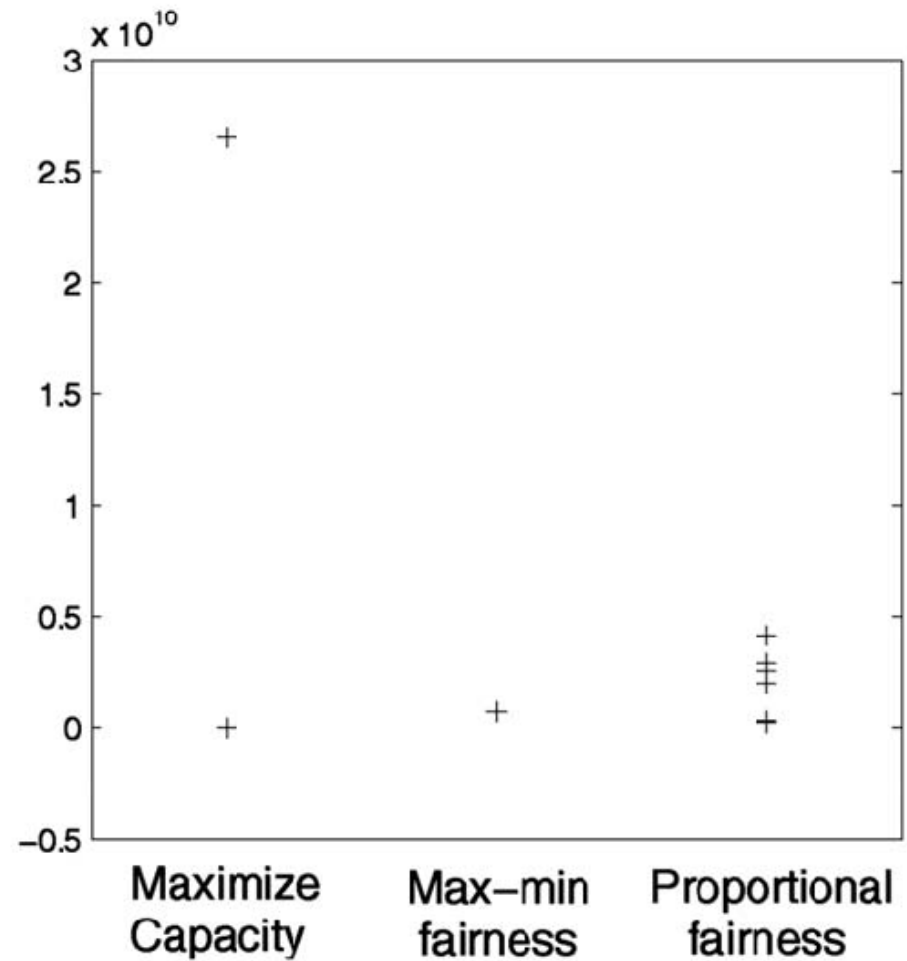
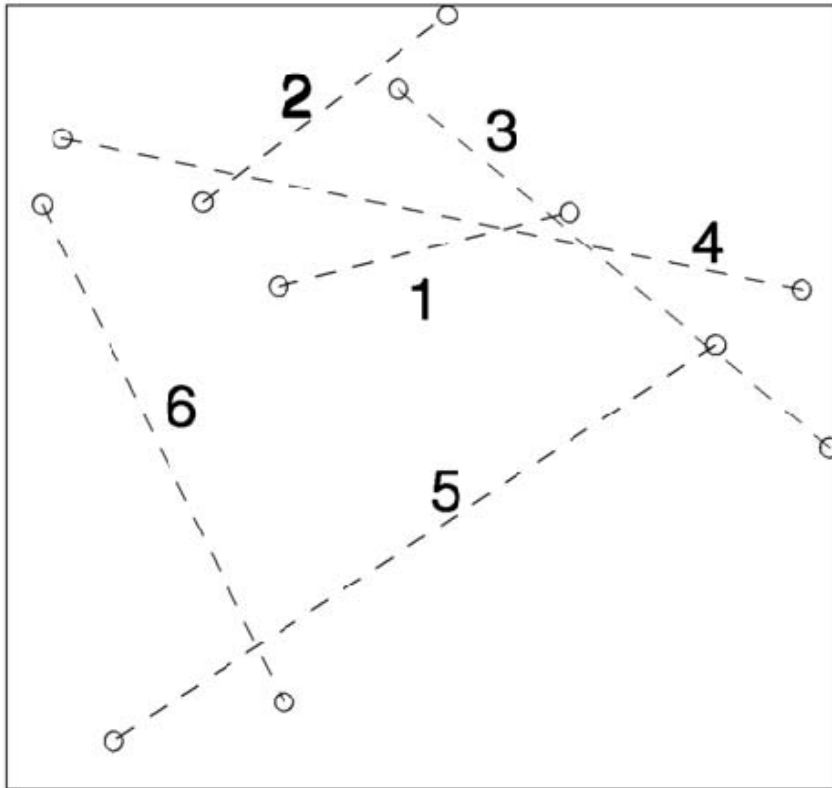


*Transport  
Max-min  
fairness*



*Proportional  
fairness*

# The pattern is quite general



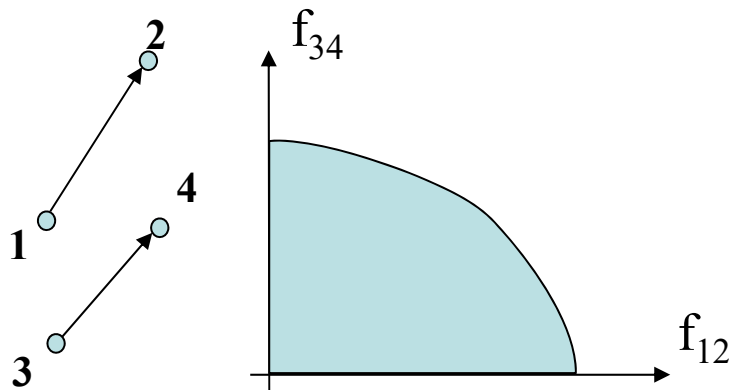
# Max-min Fairness is always inefficient

- ❑ **Theorem** [RL-TMC 2004]: **Max-min fair rate** allocation on arbitrary network, without battery lifetime constraint, has all rates **equal**
- ❑ Same for **max-min fair transport rates**

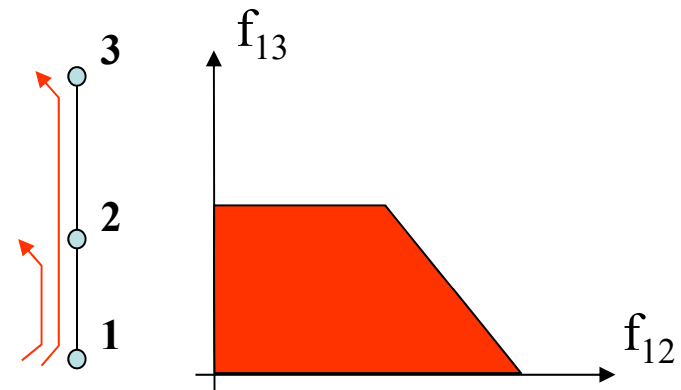


# Equality of Max-Min fair rates is due to Solidarity Property

- A set has **solidarity property** if one can always trade value of one coordinate for some other coordinate.
- solidarity property of set , max-min rates are all equal
- Not all convex sets have solidarity property.
  - feasible set of rates for wireless network has
  - same for feasible set of transport rates

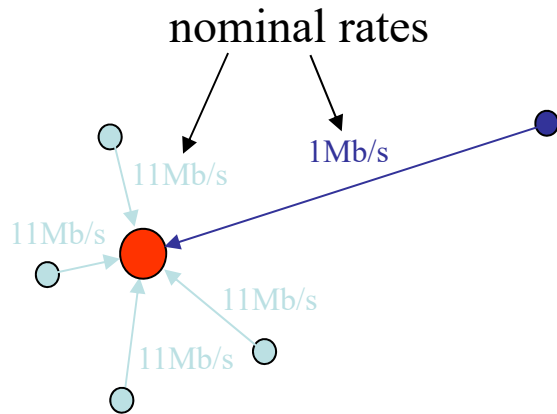


Example **with** solidarity property:  
Feasible set of wireless network



Example **without** solidarity property:  
Feasible set of wired network

# Application to 802.11 Network



**Actual rates of all flows  
in the example: 1 Mb/s!**

- ☐ All nodes have equal probability to gain access to channel
- ☐ All nodes have packets of equal sizes: slower nodes take more time to send packet.
- ☐ System is essentially max-min fair
- ☐ Conclusion: All nodes will have the same average rate, regardless of coding used
- ☐ First reported by Duda et al [Infocom 03]

Phenomenon is not due to physical layer  
choice,  
but due to choice of design objective.

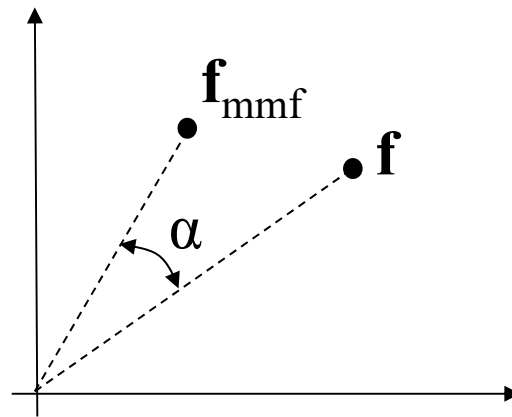
# Maximizing Total (Transport) Capacity is Grossly Inefficient

- **Theorem [RL-TMC 2004] : Asymptotic results on maximizing (transport) capacity, no fading**
  - when power constraint  $P^{\text{MAX}}$  goes to infinity, only the most efficient flows will have positive rate; the rates of other flows will be zero.
  - The same hold for maximizing transport rates – transport rates and rates of inefficient flows will be zero.

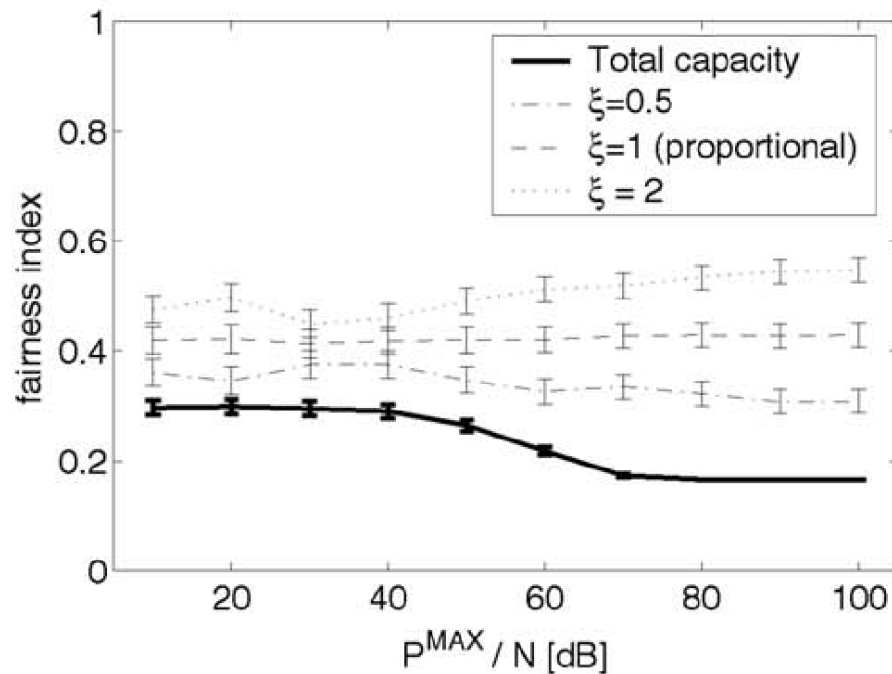
# Evaluating Design Criteria

## ❑ Q: How to quantify efficiency and fairness?

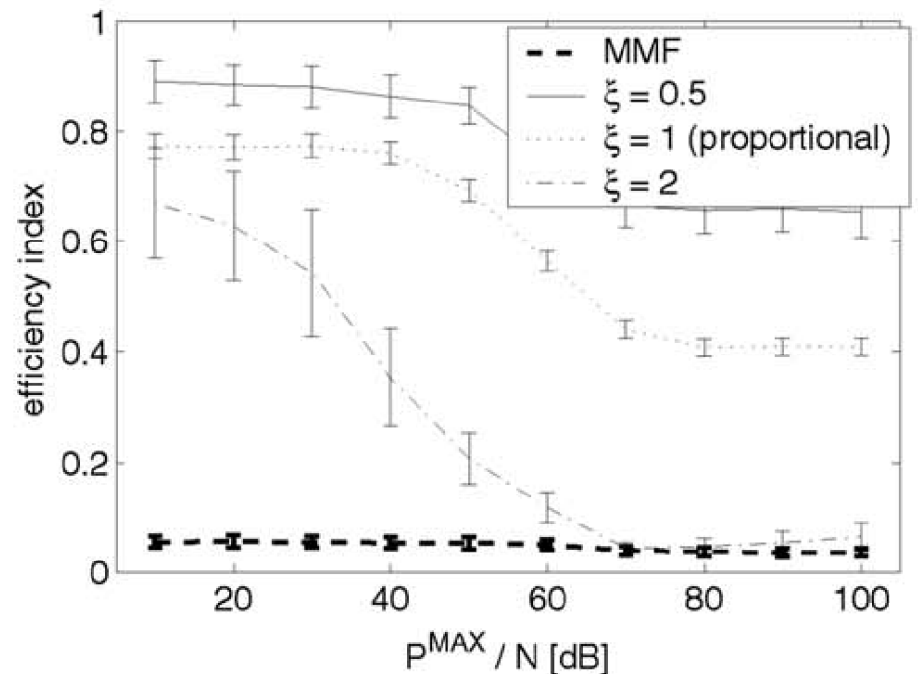
- ❑ *Efficiency index of rate allocation  $\mathbf{f}$* :  $\sum \mathbf{f}_i / \sum \mathbf{f}_i^*$   
where  $\mathbf{f}^*$  is rate allocation that maximizes total capacity.
- ❑ *Fairness index of rate allocation  $\mathbf{f}$* :  $\cos^2(\alpha)$   
where  $\alpha$  is angle between  $\mathbf{f}$  and max-min fair allocation  $\mathbf{f}_{\text{mmf}}$   
when MMF rates are equal, this coincides with Jain fairness index.



# Proportional Fairness is a Good Compromise



(a)



(b)

**Q1: Which performance objective to use ?**

**Q2: Which building blocks for MAC layer ?**

- **Optimal Design of MAC for new physical layers**
- **Apply to Very Low Power Ultra-Wide Band  
Communication in ad-hoc mode**

# State of the Art

- ❑ PHY and MAC are separated
  - PHY provides a « channel »
  - The goal of MAC is then « **Mutual Exclusion** »
    - TDMA (GSM), CSMA( WiFi) or combinations (Bluetooth, IEEE 802.15.3)
- ❑ Notable Exception
  - CDMA
  - allows interference
    - requires power control
- ❑ We want to exploit the following degrees of freedom
  - ***coding***, thus channel rate can be variable packet per packet, or even block by block
  - ***interference*** *may* be allowed
- ❑ No joint coding /decoding (simple senders/receivers)

# Our Method

1. Search for optimal design, ignoring protocol overhead  
Look for patterns in optimal design
2. Apply patterns to practical protocol implementations  
Measure the results

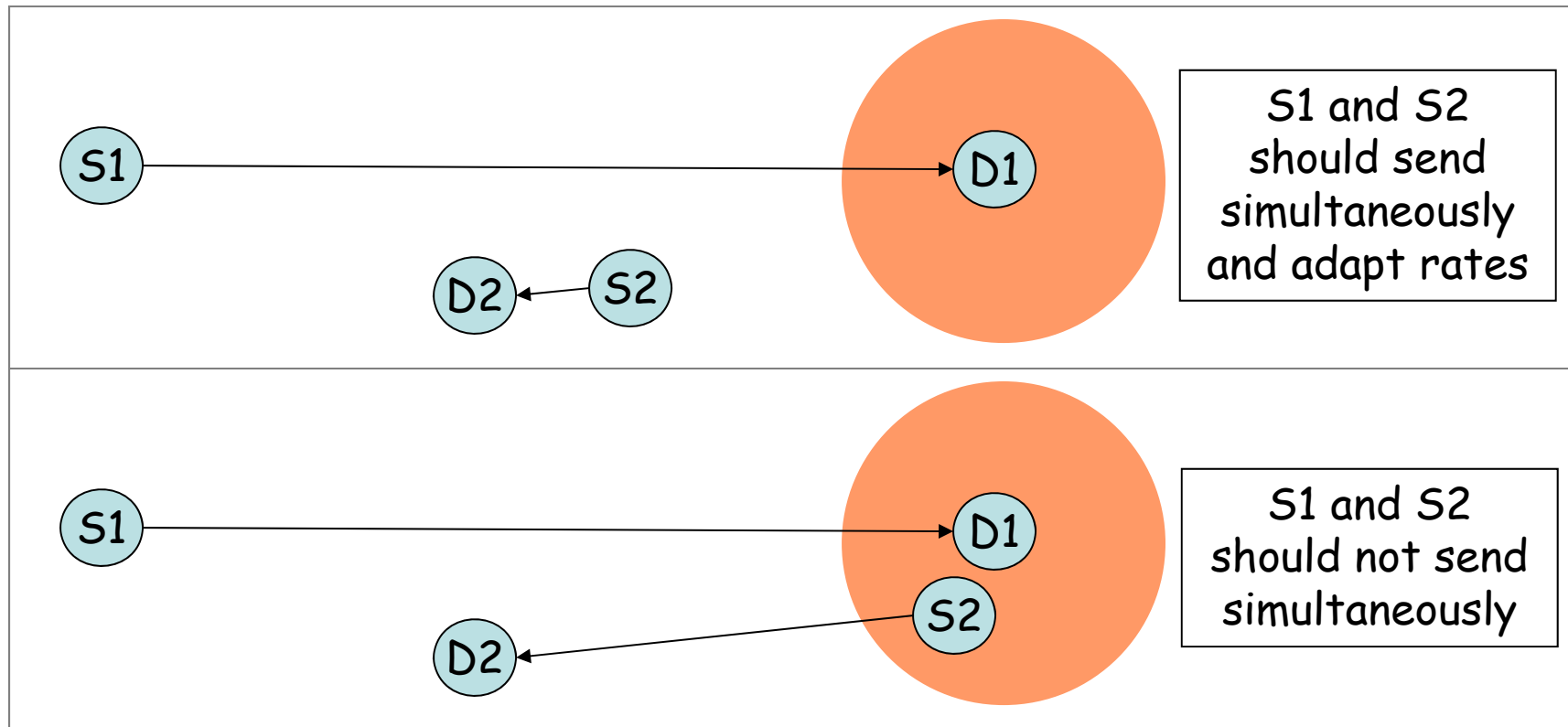


# Optimal Design

- ❑ Model a general wireless ad-hoc network with
  - variable coding rate
  - arbitrary power allocations with peak (voltage) and average (battery) constraints
  - random channel states (fading, mobility)
  - arbitrary schedule (i.e. mutual exclusion in the time domain)
  - arbitrary, possibly multipath, routing
  - arbitrary orthogonality factors (CDMA)
  - protocol overhead of exclusion not accounted for
- ❑ Numerically solve for proportional fairness

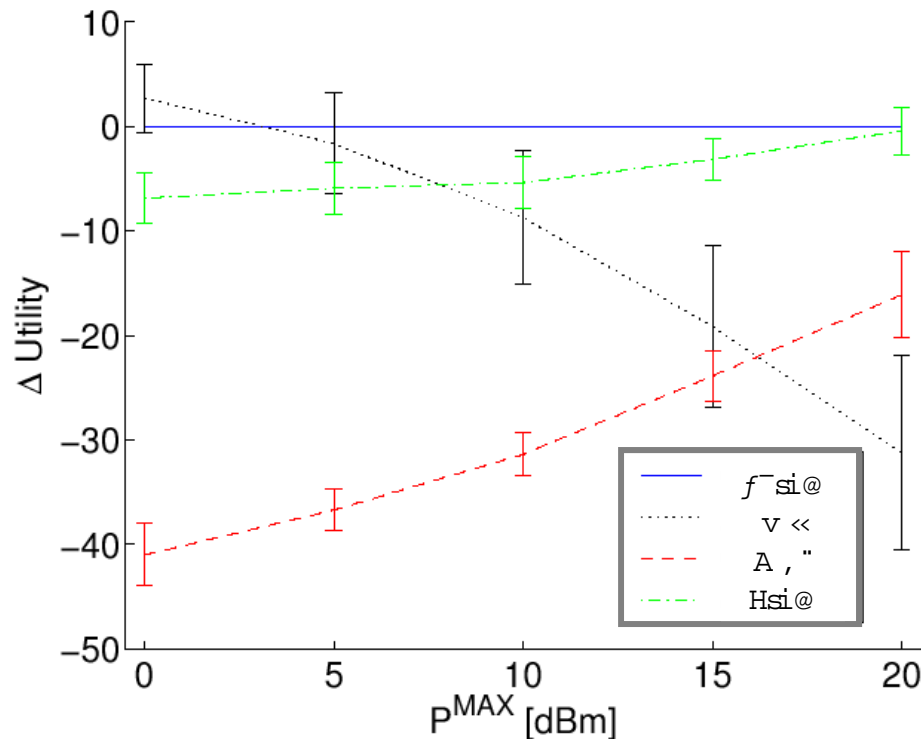
# Finding 1: When Mutual Exclusion is not Optimal

- ❑ Interference should be allowed except when source is inside an « exclusion region » around a destination D1
  - size of exclusion region can be computed numerically based on characteristics of link S1-D1 and average power of interfering sources



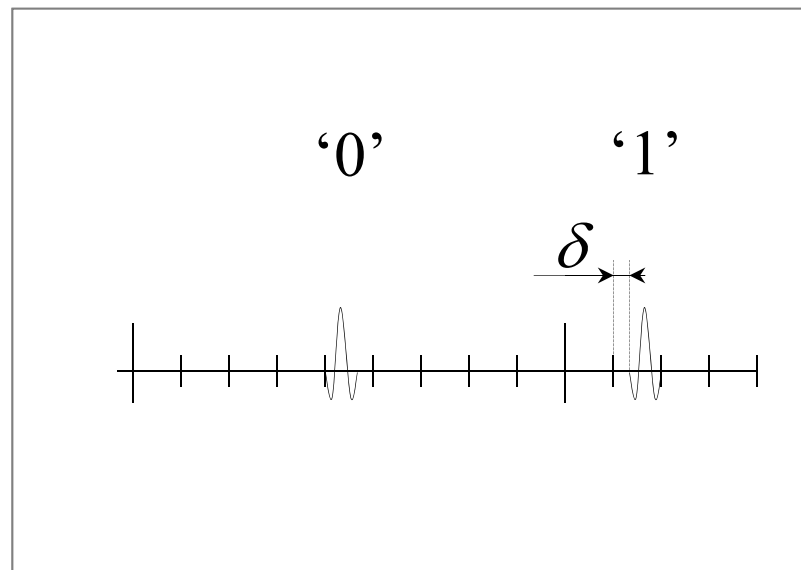
# Application to IEEE 802.11

- ❑ IEEE 802.11 implements exclusion region by RTS/CTS
  - RTS/CTS decoded when received with SNR  $\geq 0$  dB
- ❑ we find numerically that it is optimal to *reduce* the range of RTS/CTS
  - RTS/CTS decoded when received with SNR  $\geq 17$  dB
- ❑ i.e. more interference could be allowed
  - increase total rate by 50%



# Application to Impulse Radio Ultra Wide Band Communication

- ❑ Radio Transmission Technology, very low energy in all frequency bands
- ❑ Unlicensed
- ❑ Impulse radio = short pulses
  - in discussion at IEEE 802.15.4a very low power
  - other, non impulsive UWB : IEEE 802.15.3 frequency hopping (higher power)
- ❑ Example: [WinScholtz2000] pulse position modulation



# Very Low Power UWB

- ❑ UWB has the potential to use very low power
- ❑ Our focus: reduced *emitted* power
  - environmental concern
  - pervasive computing
- ❑ Our threshold : order of microwatt emitted power
  - Maximum : 18 Mb/s for one user with line of sight
  - depends on noise and attenuation
    - 30 meters, maximum is 6 Mb/s for one user
    - in practice much less due to noise and interference

## Finding 2 : On-Off Power

- ❑ Optimal power control is On-Off

- formally true in the linear regime rate =  $K \times \text{SNIR}$

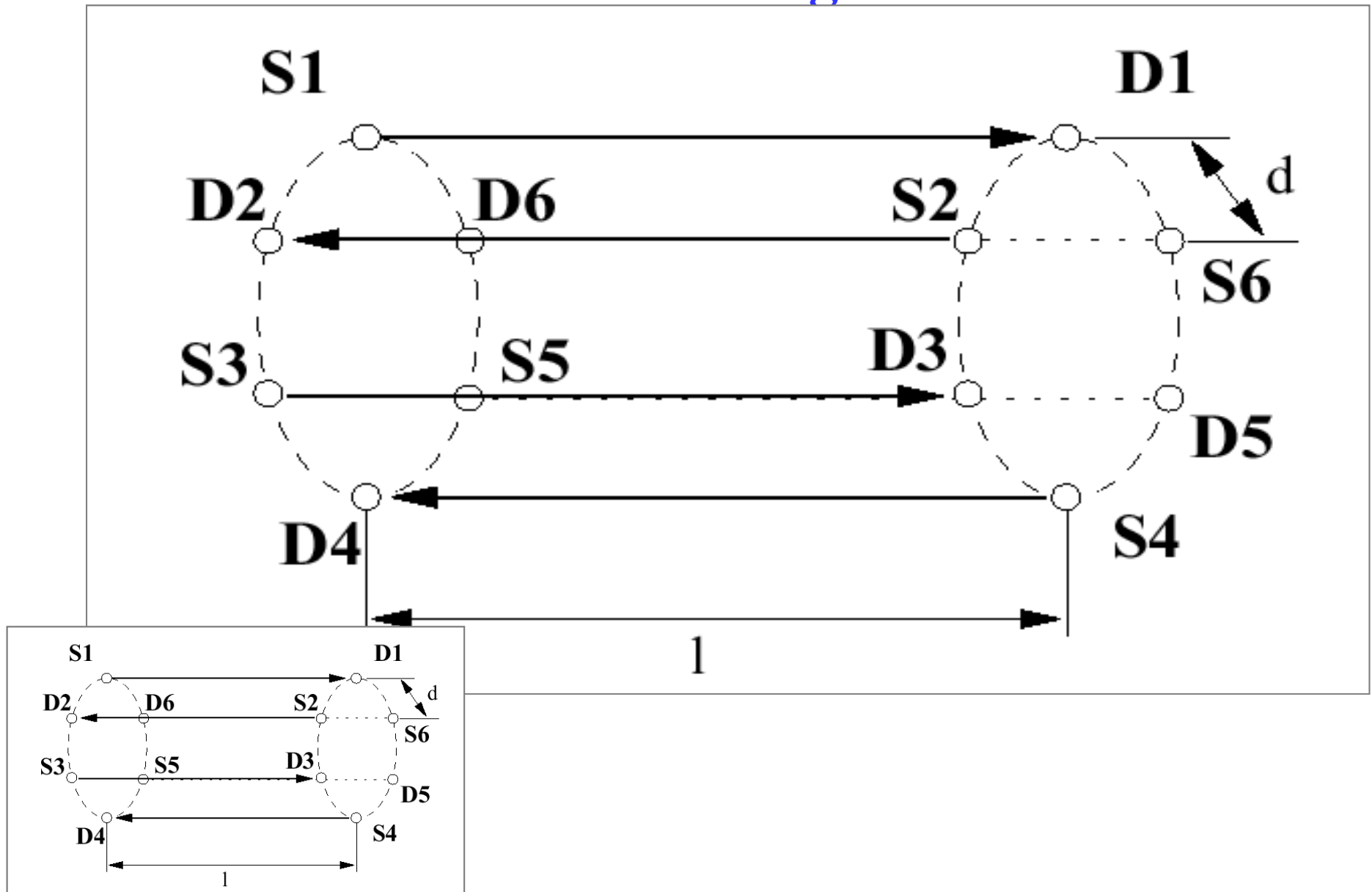
Theorem 2 in [RadunovicL:05]

- numerically true, with confidence intervals, in other cases

- ❑ Any other policy is not optimal

- ❑ Contrast with CDMA design

## Finding 3 : Mutual Exclusion is not Optimal in Low Power Regime



# What this tells us

- ❑ Suggested MAC design for very low power with interference mitigation
  - 1. allow interference,
  - 2. no power control
  - 3. adapt the code rate to the level of interference



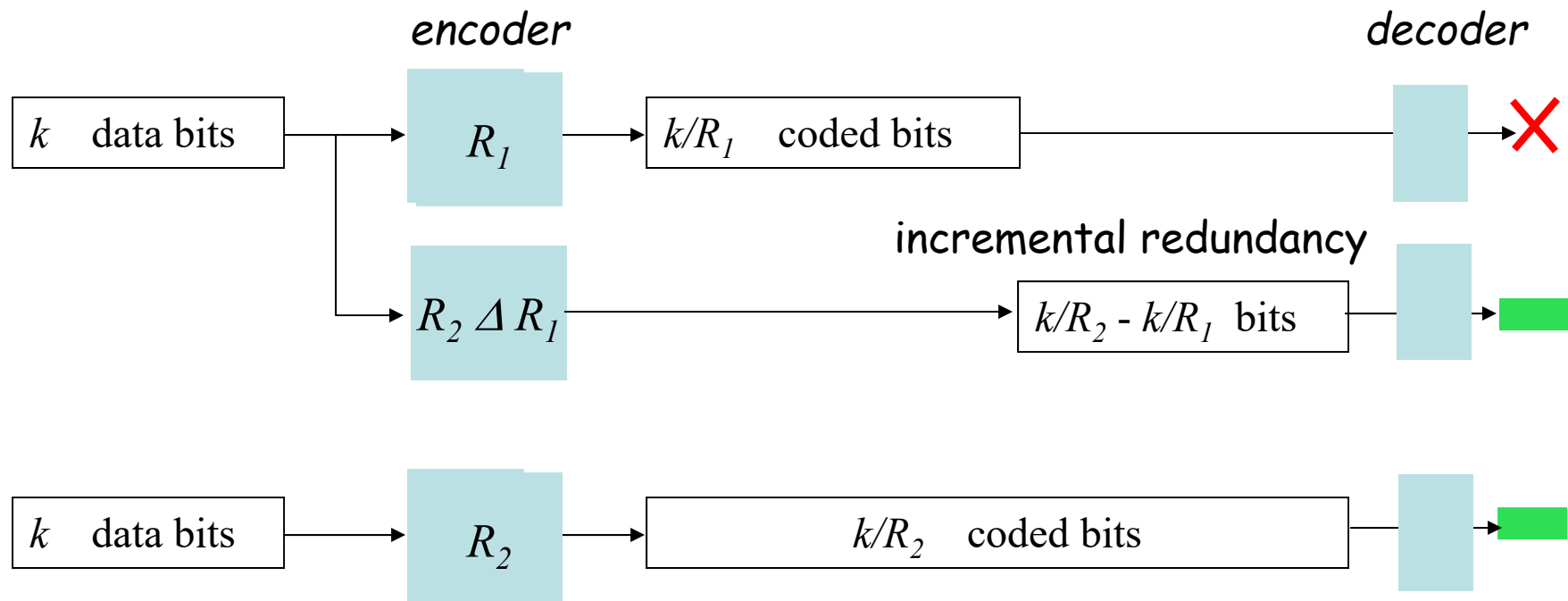
# Our Concrete Protocol

## Dynamic Channel Coding MAC Protocol

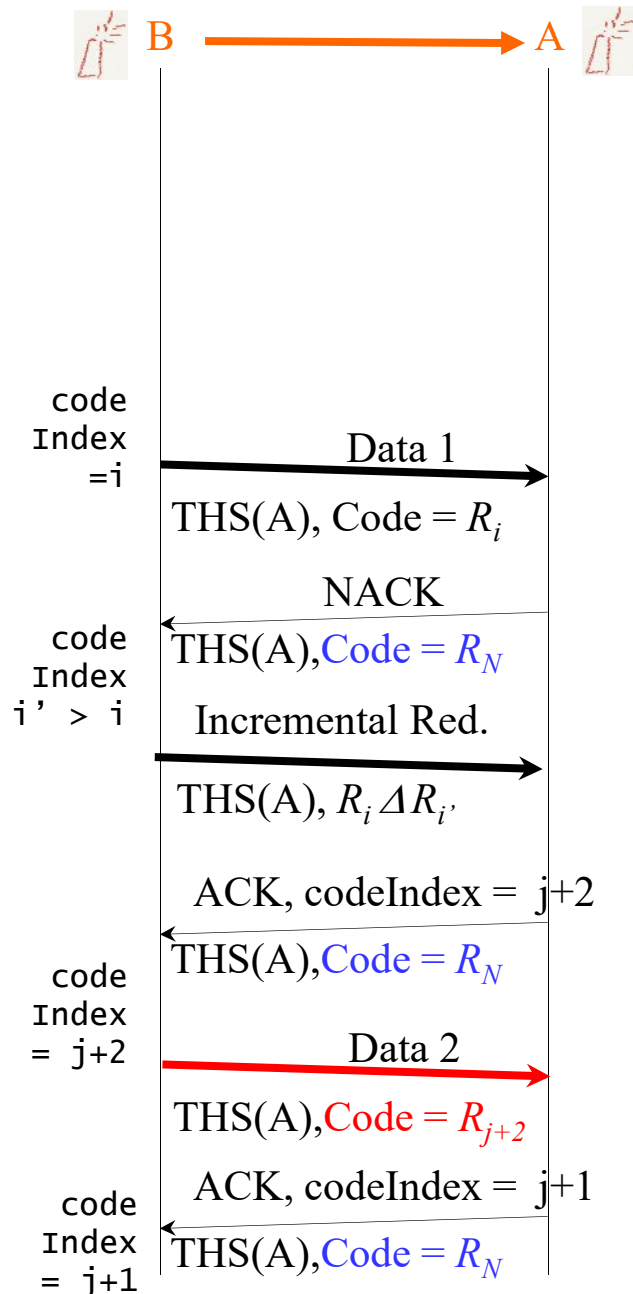
- ❑ Based on our theoretical results
  - allow interference
  - adapt code
- ❑ It remains to solve
  - the « Private MAC Problem »:  
several sources send to same destination
  - carrier sensing not possible

# We Use Incremental Redundancy Codes

- ❑ A family of codes that cover rates from 1 to 1/32
- ❑ No penalty for sending incremental bits later



# Dynamic Channel Coding

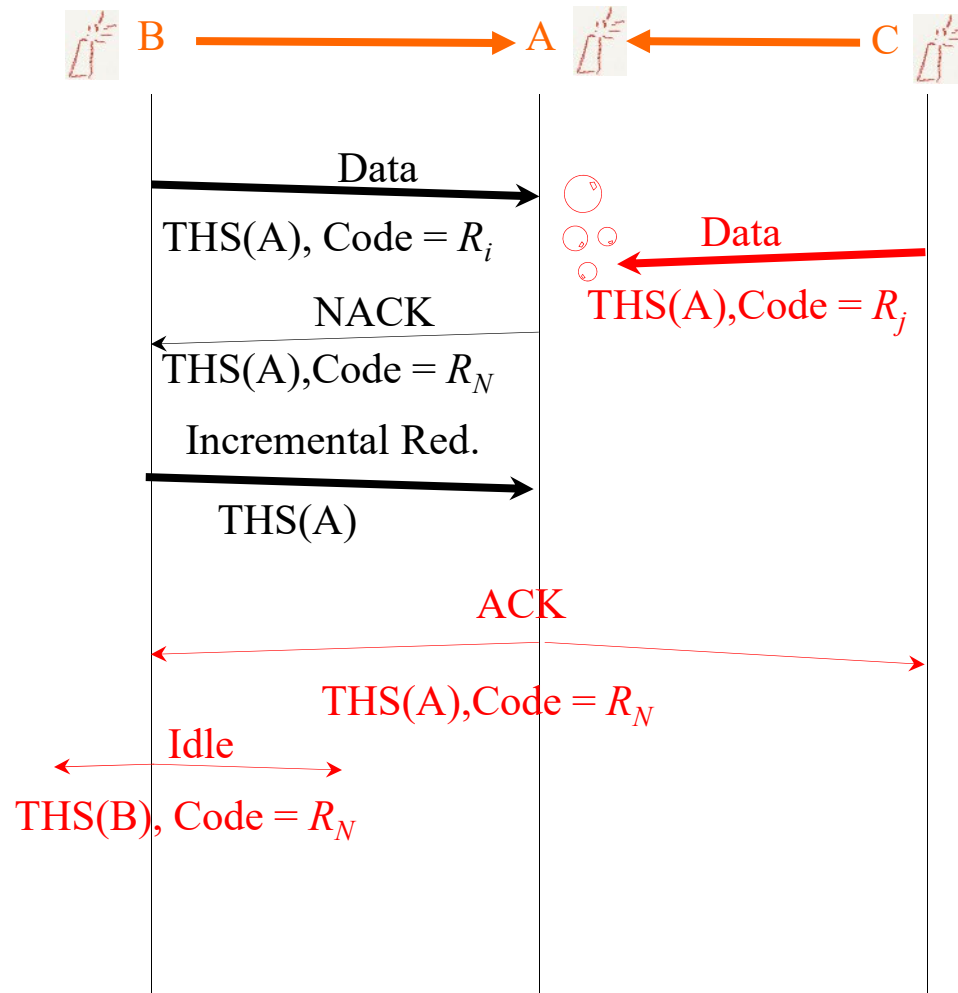


- ❑ Goal: use the most economical code
  - set for every packet
  - avoid hard failure
- ❑ Source keeps estimate of code to use with a safety margin
- ❑ Rate is adapted by an adaptation protocol at the MAC layer
  - no channel estimation

# Concurrent Access is managed by « Private MAC »

- ❑ Concurrent access to different destinations occurs without direct coordination
  - dynamic channel coding adapts automatically
- ❑ Access to same destination requires a **mutual exclusion** protocol
  - between competing sources
  - to arbitrate between sending and receiving
- ❑ Our “**private MAC**” protocol is a combination of invitation and receiver based

# Concurrent Sources Do Not Collide



□ C attempts to transmit to A

● A is busy

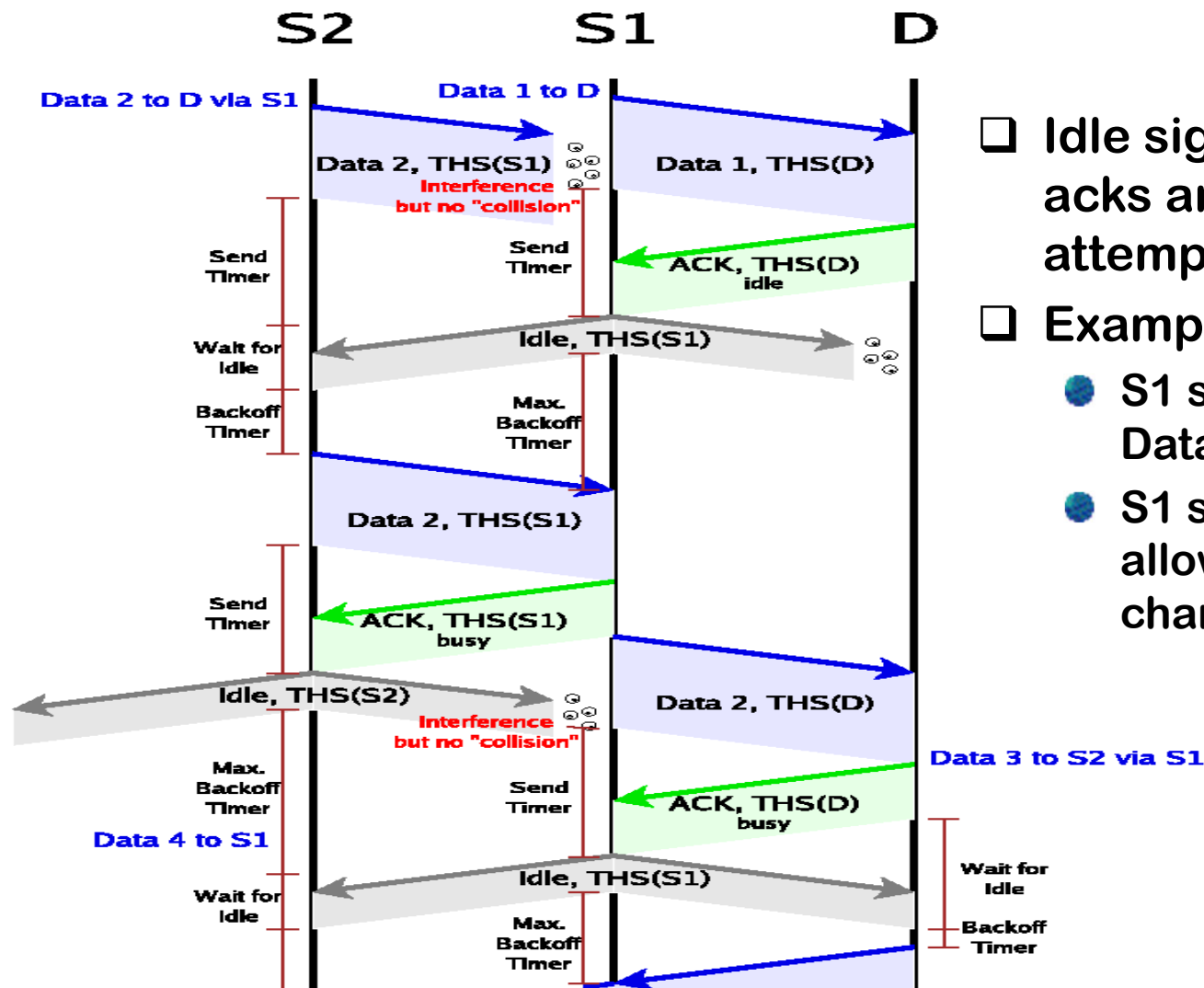
□ no collision, interference

□ C waits for either

● Ack

● or Idle

# In ad-hoc network, interplay between sending / receiving requires careful tuning



- ❑ Idle signal + Idle/Busy in acks are used to avoid failed attempts
- ❑ Examples
  - S1 sends Idle after sending Data 1 – frees S2
  - S1 sends busy in ack allows S1 to keep the channel for sending

# Simulation Results: No Collapse for Many Users

- ❑ We implemented the Dynamic Channel Coding MAC in ns2, based on tables computed in Matlab
  - we redesigned ns2 PHY to support interference /collision during a transmission
- ❑ We compared the performance to
  - mutual exclusion (TDMA, Random Access); power control

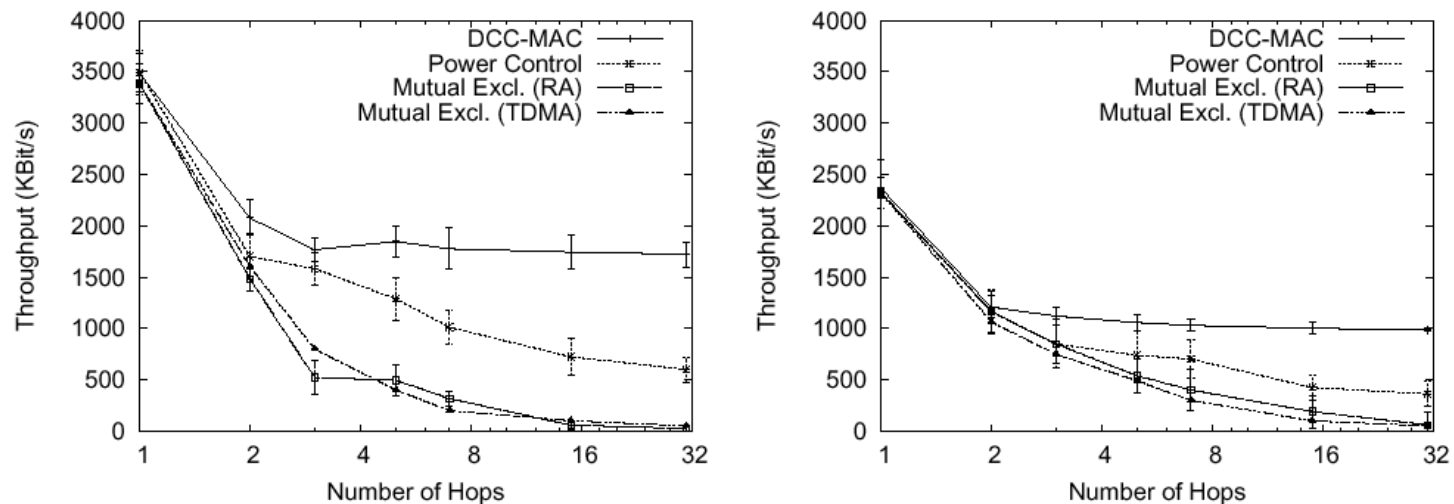


Fig. 7. Throughput on the multi-hop network for UDP (left graph) and TCP (right graph). We show throughput vs. number of hops. There is almost no drop in throughput for the DCC-MAC as the number of hops increases.

# Conclusion

- ❑ A fundamental reflection, based on modelling, on how to organize the MAC leads to different approaches
  - the optimization criterion is important
  - interference is not collision
  - power control not always a good idea



## More Information

1. [RL-TMC 2004] B. Radunovic, J. Y. Le Boudec  
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